

## Annexure A Tariff Structure for Depository Services

Accounting Opening Charges	Nil
Documentation Charges	₹ 200

Annual Account Maintenance Charges		Tick the applicable box.
Individuals	₹ 300	
Corporates	₹ 750*	
NRI's / Non Clients	₹ 1000	
Special Scheme	***	

\* Plus CDSL Actuals




Transaction Charges	
On Market	₹ 10 per ISIN
Off Market	₹ 25 per ISIN
Inter Depository	₹ 25 per ISIN
Dematerialization Charges	₹ 5 per certificates plus Rs.50 for postal/courier charges
Rematerialization Charges	₹ 25 per certificate plus Rs.50 for postal/courier charges
Pledge / Invocation / Closure	₹ 50 per ISIN
Additional Statement charges	₹ 25
Failed Transaction charges	₹ 10 per ISIN

\*\*\* **Special Scheme will be given to clients at the discretion of the Management.**

### NOTES

- All charges and service standards are subject to revision at the discretion of Greshma Shares and Stocks Limited and will be informed by circulars sent by ordinary post or E Mail.
- Account Maintenance charges will be charged at the beginning of the financial year.
- Service Tax and other levies would be extra as applicable.
- All instructions for transfer must be received at the designated office(s) of DP at least 24 hours before the execution date or the pay-in deadline. Any instruction beyond the specified time limit would be executed on best effort basis and DP won't be responsible for any kind of failure or non execution of those trades.
- In case of delay in the payment of charges, demat account can be frozen for all operations till dues are cleared.
- Transaction Statement will be provided monthly and Holding Statement quarterly.

With respect to the above mentioned subject, I/We authorise you to debit my/our trading account code/ CIN\_\_\_\_\_ maintained with Greshma Shares & Stocks Limited for the debit charges payable to Greshma Shares & Stocks Limited as a Depository Participant for providing depository services. Any such sum debited to my/our account shall be binding on me/us.

		
First / Sole Holder	Second Holder	Third Holder

**ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT**

**For Individuals**

Depository Participant Name	<b>GRESHMA SHARES &amp; STOCKS LIMITED</b>											
Address	124. Viraj, 41 - 42, 4th Floor, S. V. Road, Khar (West)											
City/Town/Village	Mumbai	Pin	4	0	0	0	5	2	State	Maharashtra	Country	INDIA

(To be filled by the Depository Participant)

Application No.		Date	D	D	M	M	Y	Y	Y	Y									
DP Internal Reference No.																			
DP ID	1	2	0	6	3	0	0	0	Client ID										

(To be filled by the applicant in **BLOCK LETTERS** in English)  
I/We request you to open a demat account in my/ our name as per following details:-

**Holders Details**

Sole / First Holder's Name	PAN																		
	UID																		
Second Holder's Name	PAN																		
	UID																		
Third Holder's Name	PAN																		
	UID																		

Name *	
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\* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

**Type of Account (Please tick whichever is applicable)**

Status	Sub - Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National-Depository Receipts <input type="checkbox"/> Others(specify) _____	

**Details of Guardian (in case the account holder is minor)**




Guardian's Name		PAN																	
Relationship with the applicant																			




I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
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I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end ( If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Account Statement Requirement as per SEBI Regulation	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
--	--

I / We request you to send Electronic Transaction-cum-Holding Statement at the E-mail ID _____		<input type="checkbox"/> Yes	<input type="checkbox"/> No
My relationship with the holder of above Email ID is as below :			
<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Child <input type="checkbox"/> Dependent Parent			
I/ We would like to share the email ID with the RTA		<input type="checkbox"/> Yes	<input type="checkbox"/> No
C A S (Consolidated Account Statement)		<input type="checkbox"/> Yes	<input type="checkbox"/> No
I/We would like to receive the Annual Report <input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)			
Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]		<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>BANK DETAILS (DIVIDEND BANK DETAILS)</b>			
Bank Code (9 digit MICR code)			
IFS Code (11 character)			
Account Number			
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____		
Bank Name			
Branch Name			
Bank Branch Address			
City	State	Country	PIN code
(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or) (ii) Photocopy of the Bank Statement having name and address of the BO (iii) Photocopy of the Passbook having name and address of the BO, (or) (iv) Letter from the Bank. ➤ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.			
<b>OTHER DETAILS</b>			
Gross Annual Income details	<b>Income Range per annum :</b>		
	<input type="checkbox"/> Up to ₹1,00,000 <input type="checkbox"/> ₹1,00,000 to ₹5,00,000 <input type="checkbox"/> ₹5,00,000 to ₹10,00,000 <input type="checkbox"/> ₹10,00,000 to ₹25,00,000 <input type="checkbox"/> More than ₹25,00,000		
	Net worth as on (Date)	D	D
(Net worth should not be older than 1 year)			
Occupation	<input type="checkbox"/> Private <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____		
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)		
Any other information :			

<b>SMS Alert Facility</b> Refer to Terms & Conditions given as <b>Annexure - 2.4</b>	MOBILE NO. +91 <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
Transactions Using Secured Texting Facility (TRUST).  Refer to Terms and Conditions Annexure – 2.6 on our Website : <a href="http://www.greshma.com">www.greshma.com</a>	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.		<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Easi</b>	To register for easi, please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a> . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.		
I/We wish to avail the following facility :			
<b>Rajiv Gandhi Equity Savings Scheme (RGESS)</b>			
<p> <input type="checkbox"/> I am aware that BSDA facilities is available and still want to opt for normal Account  <input type="checkbox"/> I / We wish to avail the BSDA facility for the new account for which we have submitted my/our account opening form.         </p> <p>           I/We have read and understood the regulatory (SEBI) guidelines for opening a Basic Services Demat Account and undertake to comply with the aforesaid guidelines from time to time. I/We also undertake to comply with the guidelines issued by any such authority for BSDA facility from time to time. I/We also agree that in case our demat account opened under BSDA facility does not meet the eligibility for BSDA facility as per guidelines issued by SEBI or any such authority at any point of time, my/our BSDA account will be converted to regular demat account without further reference to me/us and will be levied charges as applicable to regular accounts as informed by the DP.         </p> <p>           I, the first/ sole holder also hereby declare that I do not have / purpose to have any other demat account across depositories as a first/ sole holder.         </p> <p style="text-align: center;">  _____ <span style="margin-left: 200px;"> _____</span> <span style="margin-left: 200px;"> _____</span>            Sole / First Holder <span style="margin-left: 200px;">Second Holder</span> <span style="margin-left: 200px;">Third Holder</span> </p>			

NOMINATION DETAILS									
					Nomination Registration No.			Dated	
I/We the sole holder / joint holders / Guardian (in case of minor) hereby declare that :									
<input type="checkbox"/> <b>I / We do not wish to nominate any one for this demat account.</b>									
<input type="checkbox"/> I / We <b>nominate</b> the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of my/our death.									
Full Name of the Nominee									
Address									
City			State						
Country			PIN code						
Telephone No.			Fax No.						
PAN			UID						
E-mail ID									
Relationship with BO (if any)			Date of birth (mandatory if nominee is a minor)						
As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all joint holders, I/we appoint following person to act as <b>Guardian</b> :									
Full Name of Guardian of Nominee									
Address									
City			State						
Country			PIN code						
Telephone No.			Fax No.						
E-mail ID									
Relationship of Guardian with Nominee									
This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.									
Note : Two witnesses shall attest signature(s) / thumb impression(s)									
Details of the Witness									
			First Witness			Second Witness			
Name of witness									
Address of witness									
Signature of witness									
I/We have received and read the Rights and Obligations document and terms and conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.									
	First / Sole Holder or Guardian(in case of minor)			Second Holder			Third Holder		
Name									
Signatures									
(Signatures should be preferably in black ink)									

**HUF DECLARATION (NOC)**

To,  
**Greshma Shares & Stocks Limited**  
 Depository Services, Mumbai.

Date:

I / We the family members, being Co-parceners of \_\_\_\_\_ (HUF) do not have objection to open Depository Account with Greshma Shares & Stocks Limited by Karta of \_\_\_\_\_ (HUF) & Issue Power of Attorney to Greshma Shares & Stocks Limited.

Name	Sex	Date Of Birth	Relationship with Karta	Signature

(Karta signature With Stamp)

**SELF CHECKLIST : CHECK THE APPLICATION BEFORE SUBMITTING TO GSSL**

**PAN Card (Compulsory for all types of BOs)**

- Unique Identification Document (Aadhar)
- Date of Birth Certificate in case of minor
- Photocopy of the Cheque leaf
- Nomination Form
- Photograph of each holder and signature across it
- Fax Indemnity for Faxed Instructions

**If NRI - NRI(NRO)**

- Foreign Address and RBI approval date
- Attested copy of RBI Approval
- Proof of NRI Status

**AGREEMENT**


- Duly signed on each page
- First witness address and Signature
- Duly signed Tariff Sheet


**PROOF OF RESIDENCE**

- Certified True Copy of Election Card/ Passport (any one) OR
- Certified True Copy of PAN Card / Driving Licence / Photo Credit Card / Certified True Copy of Bank Pass Book / Electricity Bill (latest) / Telephone Bill (latest) / Ration Card / I.T. Return (latest)

**INSTRUCTIONS FOR THE APPLICANTS :**

1. Signatures can be in English or Hindi or any other language contained in the 8th Schedule of the Constitution of India. Thumb impression and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his / her official seal.
2. Details of the names, address and tel No. etc. of the Magistrate / Notary Public / Special Executive Magistrate are to be provided in case of attestation done by them
3. In case of additional signatures, separate annexures should be attached to the application form.
4. In case of the application under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarised copy thereof, Name of POA, Signature of POA must be lodged with the DP alongwith the application.
5. All correspondence / queries shall be addressed to the Sole / First Applicant only.
6. Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
7. Strike off which ever is not applicable.
8. The following documents are to be submitted by the Investors :
  - The Introduction is required for all the account opened as per SEBI regulations Any one of the following documents determining the bonafides of BO needs to be obtained by the DP • Photocopy of Election ID Card • Passport • UID (AADHAR) • Ration Card • Bank Pass BOOK • Date of Birth certificate in case of minors • Proof of NRI status • Copy of RBI approval for NRIs • One passport size photograph of each account holder.
9. This information is the sole property of Greshma Shares & Stocks Ltd and would not be disclosed to anyone unless required by law or except with express permission of client.

 \_\_\_\_\_  
 Sole / First Holder

 \_\_\_\_\_  
 Second Holder

 \_\_\_\_\_  
 Third Holder



# **Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories**

## **General Clause**

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

## **Beneficial Owner information**

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

## **Fees/Charges/Tariff**

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

## **Dematerialization**

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

## **Separate Accounts**

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

## **Transfer of Securities**

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

## **Statement of Account**

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

### **Manner of Closure of Demat account**

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

### **Default in Payment of Charges**

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

### **Liability of the Depository**

21. As per Section 16 of Depositories Act, 1996,
  1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
  2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

### **Freezing/ Defreezing of accounts**

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

### **Redressal of Investor grievance**

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

### **Authorized representative**

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

### **Law and Jurisdiction**

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.



## SMS ALERT FACILITY (SMART) FROM CDSL

### TERMS AND CONDITIONS-CUM-REGISTRATION / MODIFICATION FORM FOR RECEIVING SMS ALERTS FROM CDSL

#### Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

#### Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

#### Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**

7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

**Fees:**

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

**Disclaimer:**

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

**Liability and Indemnity:**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

**Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

**Governing Law and Jurisdiction:**

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I / We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable).

BOID

1	2	0	6	3	0	0	0												
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 digit Client ID)

Sole / First Holder's Name : \_\_\_\_\_

Second Holder's Name : \_\_\_\_\_

Third Holder's Name : \_\_\_\_\_

Mobile Number on which messages are to be sent

+ 91																			
------	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: \_\_\_\_\_

Email ID: \_\_\_\_\_

(Please write only ONE valid email ID on which communication; if any, is to be sent)

Signature \_\_\_\_\_

Place \_\_\_\_\_ Date \_\_\_\_\_

**OPTION FORM FOR ISSUE OF DIS BOOKLET**

**Annexure 2.5**

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

DP ID										Client ID								
-------	--	--	--	--	--	--	--	--	--	-----------	--	--	--	--	--	--	--	--

First Holder Name																	
Second Holder Name																	
Third Holder Name																	

To,  
**GRESHMA SHARES & STOCKS LIMITED**  
 Regd. Office : 124. Viraj, 41-42, 4th Floor, S. V. Road,  
 Khar (West), Mumbai 400 052.

Dear Sir/Madam,

I / We hereby state that : [Select one of the options given below]

**OPTION 1:**

I / We request you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I / we have issued a Power of Attorney (POA) with \_\_\_\_\_ (name of the attorney / Clearing Member) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member.

Yours faithfully,

	First/ Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

**OR**

**OPTION 2:**

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA with \_\_\_\_\_ (name of the attorney / Clearing Member) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully

	First/ Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

----- (Please Tear Here) -----

**Acknowledgement Receipt**

Received OPTION FORM FOR ISSUE / NON ISSUE OF DIS BOOKLET from :

DP ID										Client ID								
First Holder Name																		
Second Holder Name																		
Third Holder Name																		

**Depository Participant Seal and Signature**

## GRESHMA SHARES & STOCKS LIMITED

Regd. Office : 124, Viraj, 41-42, 4th Floor, S. V. Road, Khar (West), Mumbai 400 052.

Process Office : Sri Balan Towers, 610 A, Cross Cut Road,  
Gandhipuram, Coimbatore - 641 012

**DP ID : 12063000 • SEBI REGN. NO. IN - DP - 02 - 2015**

### ACKNOWLEDGEMENT

Application No. \_\_\_\_\_

Accepted the application from Mr./Ms. \_\_\_\_\_ as  
the sole / first holder alongwith \_\_\_\_\_ and \_\_\_\_\_  
as the second and third holder respectively for opening of a depository account. Your Client ID will be  
intimated to you shortly on acceptance. Please quote the DP ID & Client ID allotted to you in all your  
future correspondence. You have given nomination in favour of Mr./Mrs./Miss \_\_\_\_\_  
Date \_\_\_\_\_

For **Greshma Shares & Stocks Limited**

Authorised Signatory

- Tear Here -

### Acknowledgement Receipt

Received Nomination Form from:

DP ID	1	2	0	6	3	0	0	0	Client ID										
Name																			
Address																			
Nomination in favour of																			
No Nomination		Does not wish to nominate																	
Registration No.										Registered on		D	D	M	M	Y	Y	Y	Y

**Please read before filling / checking the Application Form**

- 1 - Employee of branch, head office & internal auditor should tick and sign wherever required.
- 2 - Photograph should be recent.
- 3 - Any bills or statement should not be older than two months.
- 4 - Mandatory requirements are marked in **RED**.
- 5 - Signatures should be preferably in **BLACK INK**.
- 6 - Attestation by Bank Manager if there is a copy of Pass Book or Computer generated report.
- 7 - Compliance requirements for all holders are same.

<b>Form Entered by</b>	
<b>Form Verified by</b>	

Sl.No.	For Individual	BR	HO	AU	Sl.No.		BR	HO	AU
1	<b>PAN card</b>					(c) House Agreement (notorized) or			
2	Unique Identification Document					(d) Telephone Bill (latest)			
3	For Photo & address Proof				6	<b>Cancelled Cheque</b>			
	(a) Election Card or				7	If name does not appear on cheque			
	(b) Driving Licence or					(a) Pass Book carrying name & Address or			
	(c) Passport					(b) Bank Statement carrying name & Address			
4	For Photo Proof only					If Holder is a minor			
	(a) Employment Identity Card or				8	(a) DOB Certificate and			
	(b) Photo Credit Card					(b) PAN Card of Guardian			
5	For Address Proof only				9	Others please specify _____			
	(a) Ration Card or								
	(b) Electricity Bill (latest) or								

Sl.No.	For HUF	BR	HO	AU	Sl.No.		BR	HO	AU
1	<b>HUF PAN card</b>					(b) Electricity Bill or			
2	UID of Karta					(c) House Agreement (notorized) or			
3	For Photo & address Proof					(d) Telephone Bill			
	(a) Election Card or				6	<b>Cancelled Cheque</b>			
	(b) Driving Licence or				7	If name does not appear on cheque			
	(c) Passport					(a) Pass Book or			
4	For Photo Proof only					(b) Bank Statement carrying name			
	(a) Employment Identity Card or				8	<b>PAN Card of Karta</b>			
	(b) Photo Credit Card					<b>HUF Declaration letter with Stamp</b>			
5	For Address Proof only				9	Others please specify _____			
	(a) Ration Card or								

Sl.No.	For Non Resident Indian	BR	HO	AU	Sl.No.		BR	HO	AU
1	<b>PAN card</b>				5	<b>Cancelled Cheque</b>			
2	For Photo & address Proof				6	If name does not appear on cheque			
	(a) Election Card or					(a) Pass Book carrying name & Address or			
	(b) Driving Licence or					(b) Bank Statement carrying name & Address			
	(c) Passport				7	If Holder is a minor			
3	For Photo Proof only					(a) DOB Certificate and			
	(a) Employment Identity Card or				8	<b>Foreign Address Proof</b>			
	(b) Photo Credit Card				9	<b>RBI Approval</b>			
4	For Address Proof only				10	Others please specify _____			
	(a) Ration Card or								
	(b) Electricity Bill (latest) or								
	(c) House Agreement (notorized) or								
	(d) Telephone Bill (latest)								

	Branch(BR)	Head Office(HO)	Auditor(AU)
Sign			
Name			